



27# 2611

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|                                                                                     |    |                        |                 |
|-------------------------------------------------------------------------------------|----|------------------------|-----------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) |    | Application No.        | 09/540,470      |
|                                                                                     |    | Filing Date            | March 31, 2000  |
|                                                                                     |    | First Named Inventor   | Hiroshi Takano  |
|                                                                                     |    | Group Art Unit         | 2711            |
|                                                                                     |    | Examiner Name          | No yet assigned |
| Total Number of Pages in This Submission                                            | 42 | Attorney Docket Number | 80398P257       |

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**ENCLOSURES (check all that apply)**

- ☒ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment / Response
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s)

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Form PTO/SB/08 (2 pgs.)  
Copies of 3 Search Reports  
Copies of 32 Cited References  
Return receipt postcard

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                         |                                                                      |
|-------------------------|----------------------------------------------------------------------|
| Firm or Individual name | Steven Laut, Reg. No. 47,736<br>BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP |
| Signature               |                                                                      |
| Date                    | 12-19-2002                                                           |

**CERTIFICATE OF MAILING/TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Box IDS, Assistant Commissioner for Patents, Washington, D.C. 20231 on:

|                       |              |
|-----------------------|--------------|
| Typed or printed name | Nadya Gordon |
| Signature             |              |
| Date                  | 12-19-2002   |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

## Complete if Known

Application Number 09/540,470  
Filing Date March 31, 2000  
First Named Inventor Hiroshi Takano  
Examiner Name No yet assigned  
Group/Art Unit 2711  
Attorney Docket No. 80398P257

## METHOD OF PAYMENT (check one)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☒ None  
☐ Deposit Account

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 740      | 2001         | 370      | Utility filing fee     |          |
| 1002         | 330      | 2002         | 165      | Design filing fee      |          |
| 1003         | 510      | 2003         | 255      | Plant filing fee       |          |
| 1004         | 740      | 2004         | 370      | Reissue filing fee     |          |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |          |

SUBTOTAL (1)

(\$)

### 2. EXTRA CLAIM FEES

Total Claims  - 20 =  X  =   
Independent Claims  - 3 =  X  =   
Multiple Dependent  X  =

| Large Entity |          | Small Entity |          | Fee Description                                           | Fee Paid |
|--------------|----------|--------------|----------|-----------------------------------------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                                                           |          |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                    |          |
| 1201         | 84       | 2201         | 42       | Independent claims in excess of 3                         |          |
| 1203         | 280      | 2203         | 140      | Multiple Dependent claim, if not paid                     |          |
| 1204         | 84       | 2204         | 42       | **Reissue independent claims over original patent         |          |
| 1205         | 18       | 2205         | 9        | **Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2)

(\$)

\*\*or number previously paid, if greater, For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description                                                            | Fee Paid |
|--------------|----------|--------------|----------|----------------------------------------------------------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                                                                            |          |
| 1051         | 130      | 2051         | 65       | Surcharge - late filing fee or oath                                        |          |
| 1052         | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 2053         | 130      | 2053         | 130      | Non-English specification                                                  |          |
| 1812         | 2,520    | 1812         | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804         | 920 *    | 1804         | 920 *    | Requesting publication of SIR prior to Examiner action                     |          |
| 1805         | 1,840 *  | 1805         | 1,840 *  | Requesting publication of SIR after Examiner action                        |          |
| 1251         | 110      | 2251         | 55       | Extension for reply within first month                                     |          |
| 1252         | 400      | 2252         | 200      | Extension for reply within second month                                    |          |
| 1253         | 920      | 2253         | 460      | Extension for reply within third month                                     |          |
| 1254         | 1,440    | 2254         | 720      | Extension for reply within fourth month                                    |          |
| 1255         | 1,960    | 2255         | 980      | Extension for reply within fifth month                                     |          |
| 1404         | 320      | 2401         | 160      | Notice of Appeal                                                           |          |
| 1402         | 320      | 2402         | 160      | Filing a brief in support of an appeal                                     |          |
| 1403         | 280      | 2403         | 140      | Request for oral hearing                                                   |          |
| 1451         | 1,510    | 2451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452         | 110      | 2452         | 55       | Petition to revive - unavoidable                                           |          |
| 1453         | 1,280    | 2453         | 640      | Petition to revive - unintentional                                         |          |
| 1501         | 1,280    | 2501         | 640      | Utility issue fee (or reissue)                                             |          |
| 1502         | 460      | 2502         | 230      | Design issue fee                                                           |          |
| 1503         | 620      | 2503         | 310      | Plant issue fee                                                            |          |
| 1460         | 130      | 2460         | 130      | Petitions to the Commissioner                                              |          |
| 1807         | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)                                        |          |
| 1806         | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021         | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809         | 740      | 1809         | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810         | 740      | 2810         | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801         | 740      | 2801         | 370      | Request for Continued Examination (RCE)                                    |          |
| 1802         | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

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## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)

Steven Laut

Registration No.  
(Attorney/Agent)

47,736

Telephone

(310) 207-3800

Signature

Date

12-19-2002

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Attorney's Docket No. 080398.P257

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

Hiroshi Takano, et al.

Serial No. 09/540,470

Filed: March 31, 2000

For: METHOD AND APPARATUS FOR  
CONTROLLING CONNECTIONS  
BETWEEN DEVICES

Examiner: Not yet assigned

Art Unit: 2711

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DEC 30 2002

Technology Center 2000

INFORMATION DISCLOSURE STATEMENT

Box IDS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to Rule 1.97, Applicants desire to make of record the references set forth on the attached Form PTO/SB/08. Copies of the cited references are submitted herewith.

It is hereby certified that this Information Disclosure Statement is being filed prior to issuance of an initial action, therefore no petition or fee is required. However, in the event a petition is needed for consideration of this Information Disclosure Statement, Applicants hereby so petition. Please charge any required fee due to Deposit Account 02-2666. A duplicate of the Fee Transmittal sheet is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date: 12-19-2002

By: [Signature]  
Steven Laut; Reg. No. 47,736

12400 Wilshire Blvd., Seventh Floor  
Los Angeles, California 90025  
(310) 207-3800

CERTIFICATE OF MAILING

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Nadya Gordon 12-19-2002  
Nadya Gordon Date